

# ISSUE 13

---



## Did China's Worldview Cause the Abrupt End of Its Voyages of Exploration?

**YES:** Nicholas D. Kristof, from "1492: The Prequel," *The New York Times Magazine* (June 6, 1999)

**NO:** Bruce Swanson, from *Eighth Voyage of the Dragon: A History of China's Quest for Seapower* (Naval Institute Press, 1982)

### ISSUE SUMMARY

**YES:** Journalist Nicholas D. Kristof states that China's worldview, shaped by centuries of philosophical and cultural conditioning, was responsible for its decision to cease its maritime ventures during the Ming dynasty.

**NO:** Naval historian Bruce Swanson acknowledges that China's worldview played a role in its decision to cease its maritime programs, but maintains that there were other, more practical considerations that were responsible for that decision.

**F**ew historical figures of the last 500 years can match the name recognition of Christopher Columbus, whose voyages and what resulted from them forever altered the course of history. But what about Zheng He? Does his name have the same evocative power as Columbus's? Probably not, and yet in the same century, Zheng He led more and longer naval expeditions, commanded larger ships and more men, and was within the Asian world as popular and as noteworthy as Columbus. An interesting historical lesson, replete with "what might have beens," can be learned from the life and career of the "Chinese Columbus."

Zheng He's life is in itself an interesting story. Born to Muslim parents living in China, he was a young boy when he was captured by the Chinese army and eventually castrated, a common practice for prisoners of war at that time. Eventually, he came into the service of Chinese royal prince Zhu Di, one of twenty-six sons of the Chinese emperor, whom he served with honor and distinction. As a result of an internal power struggle, Prince Zhu Di seized the royal throne from his nephew and became the Ming dynasty's Emperor Yongle, who



## use ages

ie New York

A History of

worldview,  
nditioning,  
entures dur-

nat China's  
iritime pro-  
ical consid-

ne recognition of  
om them forever  
is name have the  
the same century,  
1 larger ships and  
as noteworthy as  
what might have  
Columbus."

uslim parents liv-  
he Chinese army  
war at that time.  
e Zhu Di, one of  
h honor and dis-  
Di seized the royal  
eror Yongle, who

would rule China from 1402 to 1424. Zheng He played a significant role in this chain of events and would soon be rewarded for his meritorious service.

China's new emperor was an ambitious man who set out to establish his legacy as one of China's greatest rulers. As a means to achieve this exalted status, he emphasized the importance of China's need to re-establish its role in the commercial and maritime affairs of Asia. When it was time to select someone to command this project, the new emperor selected Zheng He.

For more than two decades Zheng He ran China's maritime operations for his emperor, and his plan included seven major voyages. In the process, "Admiral Zheng visited 37 countries, traveled around the tip of Africa into the Atlantic Ocean and commanded a single fleet whose numbers surpassed the combined fleets of all of Europe. Between 1405 and 1433, at least 317 ships and 37,000 men were under his command" (Admiral Zheng's Fleet: [www.oceansonline.com/zheng.htm](http://www.oceansonline.com/zheng.htm))

China's dominance of Asian waters brought the anticipated fame, wealth, and glory to Emperor Yongle and his eunuch admiral. However, when the former died suddenly in 1424, his successor decided to de-emphasize China's international maritime policies and ordered plans already under way for Zheng He's seventh voyage to be halted. This proved to be only a temporary setback when a new emperor, interested in reviving Yongle's maritime policies, ordered Zheng He's seventh voyage to proceed at once. It would prove to be China's last government-sponsored maritime venture.

Zheng He died in 1433, and soon after China began to lose interest in overseas exploration and eventually scrapped its maritime projects. This would have grave consequences for China when, later in the century, European countries began to send ships into Asian waters. What began as exploration eventually turned into domination, conquest, colonization, and imperialism—with dire consequences for China and the rest of Asia. Much of what follows is historical speculation, but one wonders what would have occurred if those first Western explorers who rounded Africa and headed toward Asia ran into a strong maritime force the size of Admiral Zheng's. And, if China had continued to support its maritime ventures after his death, perhaps history would have had to credit one of his successors with the discovery of the "New World."

There are numerous reasons given for China's retreat from maritime excellence. Some state that a Ming court conflict between eunuchs and Confucian scholars, traditional rivals in court politics, occurred, and the latter eventually won by depicting China's maritime expeditions as costly, eunuch-induced extravagances and not in China's best long-range interests. Others stress a series of other factors, including 1) fear of future Mongol invasions; 2) population shifts away from coastal provinces; 3) a desire to promote internal trade efforts; 4) the high cost of supporting the maritime ventures, including the money spent to prevent piracy and the profits lost to it; 5) the corruption which emanated from the costly maritime programs.

In the following selections, Nicholas D. Kristof argues that China gave up on its maritime efforts because these efforts contradicted the worldview that China had cultivated for thousands of years. Naval historian Bruce Swanson counters that this was only one of many factors responsible for China's retreat from naval supremacy.

## 1492: The Prequel

**F**or most of the last several thousand years, it would have seemed far likelier that Chinese or Indians, not Europeans, would dominate the world by the year 2000, and that America and Australia would be settled by Chinese rather than by the inhabitants of a backward island called Britain. The reversal of fortunes of East and West strikes me as the biggest news story of the millennium, and one of its most unexpected as well.

As a resident of Asia for most of the past 13 years, I've been searching for an explanation. It has always seemed to me that the turning point came in the early 1400's, when Admiral Zheng He sailed from China to conquer the world. Zheng He (pronounced jung huh) was an improbable commander of a great Chinese fleet, in that he was a Muslim from a rebel family and had been seized by the Chinese Army when he was still a boy. Like many other prisoners of the time, he was castrated—his sexual organs completely hacked off, a process that killed many of those who suffered it. But he was a brilliant and tenacious boy who grew up to be physically imposing. A natural leader, he had the good fortune to be assigned, as a houseboy, to the household of a great prince, Zhu Di.

In time, the prince and Zheng He grew close, and they conspired to overthrow the prince's nephew, the Emperor of China. With Zheng He as one of the prince's military commanders, the revolt succeeded and the prince became China's Yongle Emperor. One of the emperor's first acts (after torturing to death those who had opposed him) was to reward Zheng He with the command of a great fleet that was to sail off and assert China's pre-eminence in the world.

Between 1405 and 1433, Zheng He led seven major expeditions, commanding the largest armada the world would see for the next five centuries. Not until World War I did the West mount anything comparable. Zheng He's fleet included 28,000 sailors on 300 ships, the longest of which were 400 feet. By comparison, Columbus in 1492 had 90 sailors on three ships, the biggest of which was 85 feet long. Zheng He's ships also had advanced design elements that would not be introduced in Europe for another 350 years, including balanced rudders and watertight bulwark compartments.

The sophistication of Zheng He's fleet underscores just how far ahead of the West the East once was. Indeed, except for the period of the Roman

Empire, China had more than any place else. It had a population of 1 billion (in the 12th century), a city of Guangzhou, Indians, Africans, and probably Paris, London, and Rome.

A half-century later, Europeans learned about the world around them as they saw it, from wool, beads and spices, and medicines, spices.

In Zheng He's half of the world's history. Even as the economy and the Maddison, a leading

Asia's retort amounted to a for the rise of their economic work of their folly of 15th-century Zheng He and what legacy, if travels did not

Zheng He's ary. Nanjing is has been five c grown faint. Th what had been Park, where ch though the par caretaker told r

The abser probably come Curiously, it is actively kept a immigration to deity. In the honoring Zher Indonesians sti

Not so in China's traditi the archives of story from Ch

From Nicholas D. Kristof, "1492: The Prequel," *The New York Times Magazine* (June 6, 1999). Copyright © 1999 by *The New York Times Magazine*. Reprinted by permission of The New York Times Agency.

Empire, China had been wealthier, more advanced and more cosmopolitan than any place in Europe for several thousand years. Hangzhou, for example, had a population in excess of a million during the time it was China's capital (in the 12th century), and records suggest that as early as the 7th century, the city of Guangzhou had 200,000 foreign residents: Arabs, Persians, Malays, Indians, Africans and Turks. By contrast, the largest city in Europe in 1400 was probably Paris, with a total population of slightly more than 100,000.

A half-century before Columbus, Zheng He had reached East Africa and learned about Europe from Arab traders. The Chinese could easily have continued around the Cape of Good Hope and established direct trade with Europe. But as they saw it, Europe was a backward region, and China had little interest in the wool, beads and wine Europe had to trade. Africa had what China wanted—ivory, medicines, spices, exotic woods, even specimens of native wildlife.

In Zheng He's time, China and India together accounted for more than half of the world's gross national product, as they have for most of human history. Even as recently as 1820, China accounted for 29 percent of the global economy and India another 16 percent, according to the calculations of Angus Maddison, a leading British economic historian.

Asia's retreat into relative isolation after the expeditions of Zheng He amounted to a catastrophic missed opportunity, one that laid the groundwork for the rise of Europe and, eventually, America. Westerners often attribute their economic advantage today to the intelligence, democratic habits or hard work of their forebears, but a more important reason may well have been the folly of 15th-century Chinese rulers. That is why I came to be fascinated with Zheng He and set out earlier this year to retrace his journeys. I wanted to see what legacy, if any, remained of his achievement, and to figure out why his travels did not remake the world in the way that Columbus's did.

Zheng He lived in Nanjing, the old capital, where I arrived one day in February. Nanjing is a grimy metropolis on the Yangtze River in the heart of China. It has been five centuries since Zheng He's death, and his marks on the city have grown faint. The shipyards that built his fleet are still busy, and the courtyard of what had been his splendid 72-room mansion is now the Zheng He Memorial Park, where children roller-skate and old couples totter around for exercise. But though the park has a small Zheng He museum, it was closed—for renovation, a caretaker told me, though he knew of no plans to reopen it. . . .

The absence of impressive monuments to Zheng He in China today should probably come as no surprise, since his achievement was ultimately renounced. Curiously, it is not in China but in Indonesia where his memory has been most actively kept alive. Zheng He's expeditions led directly to the wave of Chinese immigration to Southeast Asia, and in some countries he is regarded today as a deity. In the Indonesia city of Semarang, for example, there is a large temple honoring Zheng He, located near a cave where he once nursed a sick friend. Indonesians still pray to Zheng He for a cure or good luck.

Not so in his native land. Zheng He was viewed with deep suspicion by China's traditional elite, the Confucian scholars, who made sure to destroy the archives of his journey. Even so, it is possible to learn something about his story from Chinese sources—from imperial archives and even the memoirs of

s D. Kristof

med far likelier  
e world by the  
Chinese rather  
reversal of for-  
he millennium,

n searching for  
int came in the  
quer the world.  
nder of a great  
and had been  
other prisoners  
ked off, a pro-  
liant and tena-  
der, he had the  
a great prince,

spired to over-  
g He as one of  
nd the prince  
s (after tortur-  
g He with the  
pre-eminence

ditions, com-  
five centuries.  
le. Zheng He's  
were 400 feet.  
the biggest of  
sign elements  
rs, including

v far ahead of  
f the Roman

(June 6, 1999).  
f The New York

crewmembers. The historical record makes clear, for example, that it was not some sudden impulse of extroversion that led to Zheng He's achievement. It grew, rather, out of a long sailing tradition. Chinese accounts suggest that in the fifth century, a Chinese monk sailed to a mysterious "far east country" that sounds very much like Mayan Mexico, and Mayan art at that time suddenly began to include Buddhist symbols. By the 13th century, Chinese ships regularly traveled to India and occasionally to East Africa.

Zheng He's armada was far grander, of course, than anything that came before. His grandest vessels were the "treasure ships," 400 feet long and 160 feet wide, with nine masts raising red silk sails to the wind, as well as multiple decks and luxury cabins with balconies. His armada included supply ships to carry horses, troop transports, warships, patrol boats and as many as 20 tankers to carry fresh water. The full contingent of 28,000 crew members included interpreters for Arabic and other languages, astrologers to forecast the weather, astronomers to study the stars, pharmacologists to collect medicinal plants, ship-repair specialists, doctors and even two protocol officers to help organize official receptions.

In the aftermath of such an incredible undertaking, you somehow expect to find a deeper mark on Chinese history, a greater legacy. But perhaps the faintness of Zheng He's trace in contemporary China is itself a lesson. In the end, an explorer makes history but does not necessarily change it, for his impact depends less on the trail he blazes than on the willingness of others to follow. The daring of a great expedition ultimately is hostage to the national will of those who remain behind. . . .

The disappearance of a great Chinese fleet from a great Indian port symbolized one of history's biggest lost opportunities—Asia's failure to dominate the second half of this millennium. So how did this happen? While Zheng He was crossing the Indian Ocean, the Confucian scholar-officials who dominated the upper echelons of the Chinese Government were at political war with the eunuchs, a group they regarded as corrupt and immoral. The eunuchs' role at court involved looking after the concubines, but they also served as palace administrators, often doling out contracts in exchange for kickbacks. Partly as a result of their legendary greed, they promoted commerce. Unlike the scholars—who owed their position to their mastery of 2,000-year-old texts—the eunuchs, lacking any such roots in a classical past, were sometimes outward-looking and progressive. Indeed, one can argue that it was the virtuous, incorruptible scholars who in the mid-15th century set China on its disastrous course.

After the Yongle Emperor died in 1424, China endured a series of brutal power struggles; a successor emperor died under suspicious circumstances and ultimately the scholars emerged triumphant. They ended the voyages of Zheng He's successors, halted construction of new ships and imposed curbs on private shipping. To prevent any backsliding, they destroyed Zheng He's sailing records and, with the backing of the new emperor, set about dismantling China's navy.

By 1500 the Government had made it a capital offense to build a boat with more than two masts, and in 1525 the Government ordered the destruction of all oceangoing ships. The greatest navy in history, which a century earlier had 3,500 ships (by comparison, the United States Navy today has 324), had

been ext  
poverty, c

Still  
cost Chi  
Asia ever  
and a hal

The  
ethos in  
result th  
Ancient  
tion, and  
list. Con  
distant v  
the conc  
grand se  
were no

In  
age of d  
cious co  
ther do  
profits  
tons of

A  
ulate bu  
and Inc  
method  
a Harva  
the for  
tion of  
ways of

C  
believe  
much  
they co  
histor

T  
and fis  
and ot  
Portug

T  
was m  
banne  
Europ  
slippe  
and b  
and E

End of Its . . . ?

it was not some  
vement. It grew,  
gest that in the  
st country" that  
t time suddenly  
nese ships regu-

thing that came  
et long and 160  
well as multiple  
l supply ships to  
many as 20 tank-  
embers included  
to forecast the  
ollect medicinal  
l officers to help

somehow expect  
But perhaps the  
f a lesson. In the  
ange it, for his  
ness of others to  
e to the national

lian port symbol-  
dominate the sec-  
e Zheng He was  
o dominated the  
al war with the  
eunuchs' role at  
as palace admin-  
rtly as a result of  
olars—who owed  
chs, lacking any  
and progressive.  
olars who in the

series of brutal  
cumstances and  
oyages of Zheng  
curbs on private  
s sailing records  
China's navy.  
to build a boat  
the destruction  
century earlier  
y has 324), had

been extinguished, and China set a course for itself that would lead to poverty, defeat and decline.

Still, it was not the outcome of a single power struggle in the 1440's that cost China its worldly influence. Historians offer a host of reasons for why Asia eventually lost its way economically and was late to industrialize; two and a half reasons seem most convincing.

The first is that Asia was simply not greedy enough. The dominant social ethos in ancient China was Confucianism and in India it was caste, with the result that the elites in both nations looked down their noses at business. Ancient China cared about many things—prestige, honor, culture, arts, education, ancestors, religion, filial piety—but making money came far down the list. Confucius had specifically declared that it was wrong for a man to make a distant voyage while his parents were alive, and he had condemned profit as the concern of "a little man." As it was, Zheng He's ships were built on such a grand scale and carried such lavish gifts to foreign leaders that the voyages were not the huge money spinners they could have been.

In contrast to Asia, Europe was consumed with greed. Portugal led the age of discovery in the 15th century largely because it wanted spices, a precious commodity; it was the hope of profits that drove its ships steadily farther down the African coast and eventually around the Horn to Asia. The profits of this trade could be vast: Magellan's crew once sold a cargo of 26 tons of cloves for 10,000 times the cost.

A second reason for Asia's economic stagnation is more difficult to articulate but has to do with what might be called a culture of complacency. China and India shared a tendency to look inward, a devotion to past ideals and methods, a respect for authority and a suspicion of new ideas. David S. Landes, a Harvard economist, has written of ancient China's "intellectual xenophobia"; the former Indian Prime Minister Jawaharlal Nehru referred to the "petrification of classes" and the "static nature" of Indian society. These are all different ways of describing the same economic and intellectual complacency.

Chinese elites regarded their country as the "Middle Kingdom" and believed they had nothing to learn from barbarians abroad. India exhibited much of the same self-satisfaction. "Indians didn't go to Portugal not because they couldn't but because they didn't want to," mused M. P. Sridharan, a historian, as we sat talking on the porch of his home in Calicut.

The 15th-century Portuguese were the opposite. Because of its coastline and fishing industry, Portugal always looked to the sea, yet rivalries with Spain and other countries shut it out of the Mediterranean trade. So the only way for Portugal to get at the wealth of the East was by conquering the oceans.

The half reason is simply that China was a single nation while Europe was many. When the Confucian scholars reasserted control in Beijing and banned shipping, their policy mistake condemned all of China. In contrast, European countries committed economic suicide selectively. So when Portugal slipped into a quasi-Chinese mind-set in the 16th century, slaughtering Jews and burning heretics and driving astronomers and scientists abroad, Holland and England were free to take up the slack. . . .

If ancient China had been greedier and more outward-looking, if other traders had followed in Zheng He's wake and then continued on, Asia might well have dominated Africa and even Europe. Chinese might have settled in not only Malaysia and Singapore, but also in East Africa, the Pacific Islands, even in America. Perhaps the Famao [a clan of people who live in Pate, an island off the coast of Africa, and who are rumored to be descendents of Chinese shipwreck survivors from countless generations ago] show us what the mestizos [racially mixed people] of such a world might have looked liked, the children of a hybrid culture that was never born. What I'd glimpsed in Pate was the high-water mark of an Asian push that simply stopped—not for want of ships or know-how, but strictly for want of national will.

All this might seem fanciful, and yet in Zheng He's time the prospect of a New World settled by the Spanish or English would have seemed infinitely more remote than a New World made by the Chinese. How different would history have been had Zheng He continued on to America? The mind rebels; the ramifications are almost too overwhelming to contemplate. So consider just one: this [selection] would have been published in Chinese.



nd of Its . . . ?

ooking, if other  
on, Asia might  
have settled in  
Pacific Islands,  
live in Pate, an  
endents of Chi-  
ow us what the  
oked liked, the  
impsed in Pate  
d—not for want

the prospect of  
emed infinitely  
ifferent would  
ie mind rebels;  
e. So consider

Bruce Swanson



## Continental and Maritime Ideologies in Conflict: The Ming Dynasty

**I**n 1405, China's progressive attitude toward exploitation of the sea culminated in a series of naval expeditions into the South China Sea and the Indian Ocean. The latter expeditions included visits to Ceylon, India, the Persian Gulf, and Africa. These spectacular voyages, in fact, proved that China was the supreme world seapower whose shipbuilding techniques and navigational abilities were unmatched by any other nation.

But China's prominence as the world's greatest naval and maritime power was short-lived. The last of seven expeditions ended in 1433; never again were naval expeditions attempted by emperors. As a result, it is tempting to dismiss these voyages as a temporary aberration of the Chinese emperor who sponsored them. To do so, however, would be to ignore the ineluctable influence of the maritime spirit on China, particularly the growing awareness of the potential of seapower to expand and control the tribute system. At the same time, the subsequent cessation of the voyages clearly highlights the equally strong force of continentalism among members of the imperial court as they attempted to steer China away from maritime pursuits.

### Early Ming Strategic Considerations

Before discussing the voyages and their itineraries, it is important to examine certain factors that reflected China's continuing struggle between supporters of continentalism on the one hand and the maritime ideology on the other.

#### The First Ming Emperor

The first Ming emperor, Zhu Yuanzhang, was an orphaned peasant from the riverine area near Nanjing. As a child, he had been taken in by Buddhist monks and educated in a monastery. Upon leaving the monastery, he was unable to gain employment and was soon begging for a living. At the age of twenty-five, the vagrant joined a rebel band that fought government soldiers for over a decade in the central China river valleys. Warfare finally wore down the Mongol-backed local forces and the entire Yangzi Valley came under rebel control. In due course, Zhu assumed leadership of the rebels and defeated the

From Bruce Swanson, *Eighth Voyage of the Dragon: A History of China's Quest for Seapower* (Naval Institute Press, 1982). Copyright © 1982 by The United States Naval Institute, Annapolis, Maryland. Reprinted by permission. Notes omitted.

government forces. He then established his capital at Nanjing in 1356. Twelve years later, after taking his rebel army north and capturing Beijing from the Mongols, Zhu founded the Chinese Ming dynasty.

Although Zhu, being from a riverine area, had presumably come into contact with many men who had knowledge of the sea, his initial concerns lay in consolidating Chinese rule and making China's borders and strategic cities safe from Mongol invasion. Accordingly, he took several actions that temporarily stifled maritime activities.

### Walls, Canals, and Coastal Defense

With the Mongols only recently defeated, Zhu set about improving city defenses. For example, he directed the construction of a protective wall some 20 miles in length around Nanjing. The barrier was 60 feet high and nearly impenetrable by a force armed with the weapons of the time.

On the coast, Zhu faced the problem of piracy by Japanese and Chinese freebooters, which had increased alarmingly. He ordered that Chinese not be permitted to go overseas—those who violated his edict would be executed as traitors. In 1374 Zhu backed up his decree by abolishing the superintendencies of merchant ships at the ports of Ningbo, Quanzhou, and Guangzhou. Next, he strengthened coastal defenses by constructing forts; in the four-year period from 1383 to 1387, more than one hundred thirty forts were built in the Zhejiang-Fujian coastal zones. In Zhejiang alone, more than fifty-eight thousand troops were conscripted to man the provincial coastal forts.

Zhu also directed the Board of Works to undertake extensive reconstruction of the canal system, which had been damaged by flood and warfare. One of the long-term projects called for enlarging the Grand Canal, which upon completion was to replace the pirate-plagued sea route. The latter route had been reopened earlier when civil strife closed down the canal.

### The Tribute System

The first Ming emperor wasted little time before trying to reestablish the tributary system. He ordered missions to proceed to peripheral states such as Japan, Annam, Champa, and Korea, where it was proclaimed that all who wished to enter into relations with China must acknowledge the suzerainty of the new emperor. Very soon some of these states sent reciprocal missions to Peking where Zhu received their kowtows acknowledging him as the Son of Heaven. These missions also served other purposes, such as providing the new Chinese dynasty with information on the current situations in border areas. . . .

### The Mongol-Muslim Alliance

The first Ming emperor also had to deal with the continuing threat posed by the retreating Mongols. It took Zhu's armies until 1382 to drive remaining Mongol military units from Yunnan in southwest China. Moreover, during the next twenty years, periodic "mopping-up" operations continued beyond the Great Wall in northeast China and in Korea as well.

For  
nomad an  
central A  
Indian Oc  
of the ret

Acco  
the tribute  
seek out tl  
try their o  
scorned tl  
ish, they c  
apart from

In 1  
would ser  
he thought  
ing the C  
ambitious  
Asia in a  
reached T  
that he ac  
Chinese "  
nearly co  
cavalryme

Fort  
drinking  
neglected  
against M  
from the

### Foreign

While Ti  
produced  
grandson  
Zhu's fou  
capital. A  
Peking at  
came in  
assumed

Cle  
reign. As  
establish  
belief an  
the tribu  
gain resp

He  
ping we

For the Ming government, the biggest threat lay westward. A Turkic nomad and Muslim named Timur, or Tamerlane, was conquering the entire central Asian region from Siberia to the Mediterranean and southward to the Indian Ocean. Included in the ranks of his fierce Muslim cavalry were remnants of the retreating Mongol armies.

According to an official Ming history, Zhu was anxious to bring Timur into the tribute system. He sent several small missions on the overland caravan route to seek out the Muslim leader. The Chinese apparently were unaware of just how paltry their offer of suzerainty appeared to the ferocious Timur. The Muslims, in fact, scorned the Chinese. "Because they believe [that] our people [are] wild and boorish, they do not hope for politeness, nor respect, nor honor, nor law from us; and apart from their own realms they do not know of a city [anywhere] in the world."

In 1394, after only a quarter century of Ming rule, an incident occurred that would seriously jeopardize the Chinese dynasty. At that time, Zhu received what he thought was a tribute mission from Timur that delivered a letter acknowledging the Chinese emperor as the ruler of all mankind. The letter, forged by an ambitious merchant or court official, led Zhu to send a return mission to central Asia in appreciation of Timur's vassalage. In 1395, when the Chinese embassy reached Timur and delivered Zhu's note, the Muslim leader became so enraged that he advised his staff to prepare for an invasion of China to bring down the Chinese "infidels." He took the Chinese mission hostage. By 1404 his plans were nearly complete, and he had massed two-hundred thousand Muslim and Mongol cavalrymen in the Pamirs, near modern-day Afghanistan.

Fortunately for the Chinese, Timur died in 1405, following an all-night drinking bout. On his deathbed he reportedly "expressed his regret in having neglected the conquest of such infidel countries as China and drawn his sword against Muslim armies." Two more years passed before the Chinese heard from the freed hostages that Timur had died.

### Foreign Policy Under the Second Ming Emperor

While Timur was preparing to invade China, the death of Zhu Yuanzhang in 1398 produced another period of civil war lasting until 1403. Succeeding Zhu was his grandson, a young boy whose court remained in Nanjing. In the north, however, Zhu's fourth son, Chengzu, decided to overthrow his nephew from the southern capital. As the military commander responsible for anti-Mongol operations in the Peking area, he controlled some of the best troops in China. His ultimate success came in 1403, when he defeated the Nanjing forces loyal to his father and assumed the throne with the name Yongle, meaning "perpetual happiness."

Clearly, Yongle's ambition and leadership ability forecast a dynamic reign. As with his father before him, one of Yongle's primary objectives was to establish his sovereignty throughout the tribute system by reestablishing the belief among all foreign states that China was supreme. In order to persuade the tributaries, however, Yongle had to work out a strategy that would both gain respect for Chinese power and enrich the imperial treasuries.

He dealt with Japan first. In 1403 the superintendencies of merchant shipping were reopened and new hostels were built to house Japanese tributary

missions coming by sea. A system was devised whereby legitimate Japanese merchants were given trading passports that could be checked by Chinese authorities on each visit. In this way pirates could be identified, while honest Japanese and Chinese businessmen were free to carry on lucrative trade.

In Annam Yongle faced a critical problem. In 1400, while he was fighting to usurp the throne from his nephew, events there were coming to a head. Hanoi had fallen to Champa and the Annamese Tran dynasty was destroyed. The South China Sea was now in the hands of Cham and rebel Annamese pirates, and Chinese merchant shipping, both official and unofficial, was seriously disrupted. In 1406 Yongle decided to attack across the land border in order to pacify the two warring states and then reestablish Annam as a Chinese province. Hanoi was captured in 1406, but the Chinese armies soon bogged down in Annamese cities awaiting reinforcements and supplies. Before long nearly ninety thousand Chinese troops were in Annam attempting to control the countryside through a costly sinicization program.

Problems in inner Asia were developing concurrently with the Annam invasion. Word of the Muslim conquests in central Asia had reached Yongle, but the distance and harsh nature of that western area precluded the dispatch of a large army to confront Timur. Caution got the better of Yongle. He elected to send a small fact-finding mission to Timur in 1402 to inquire why the Muslim leader, since 1395, had failed to pay tribute. In a move that suggested that Yongle would settle for political equality with remote central Asia, he approved the construction of a Muslim mosque in Peking. This may have been done to induce the warring Muslims to keep open the silk route connecting western China with the cities of the Timurid empire (these included Gilgit and Herat, located in modern-day Pakistan and Afghanistan, respectively).

With the silk route used only sporadically, the wealthy classes, the court, and the treasury had become heavily dependent upon southern maritime trade for the import of precious stones, fragrant woods, spices, and rare objects. To ensure the safety of Chinese traders on the sea and the uninterrupted flow of luxury items, it was essential that Yongle build a navy that would convince the ocean states of China's "world supremacy." He devised a forceful plan calling for the aggressive use of seapower to underline Chinese suzerainty over the peripheral southern ocean states. Since the first expedition was to sail all the way to the Muslim states of Aden, Mecca, Djofar, and Hormuz, Yongle likely concluded that the voyages would also be useful in countering Timur's influence in that area.

## The Ming Ships and Expeditions

In 1403, a year of momentous decisions, Yongle directed Chinese shipyards in Fujian to undertake an aggressive shipbuilding effort that would result in the construction of more than two thousand large seagoing vessels over the next sixteen years.

The *baochuan*, or treasure ships, were the largest vessels constructed by the Chinese. Their size has been the subject of many arguments among scholars. Ming histories record that the treasure-ships were 440 feet long and 180 feet wide (an unlikely construction ratio of 5:2). At best, this configuration is

an exa  
under  
reputat  
that su  
this wr  
est jun  
trader,  
much  
170 fee  
a bean  
order  
probat

## Zhen

In ad  
the se  
the er  
servic  
Yunna

quent  
the st  
age th  
Office  
guage  
fleet.

Musl  
area.  
Persia  
voyag

the f  
brief  
offer  
four-  
Min  
sive  
or Ja

## The

The  
sever  
atize  
was  
Rout

an exaggeration, for such broad-beamed vessels would be unresponsive even under moderate sea conditions. In fact, acceptance of these figures degrades the reputation of Chinese shipbuilders of the period, who would have recognized that such vessels were impractical to build. Until research proves otherwise, it is this writer's opinion that the largest vessels were shaped much like the three largest junks, of which records are available. These, the Jiangsu trader, the Beizhili trader, and the Fuzhou pole junk, were built on a proportion of about 6.4:1—much closer to the modern naval architecture ratio of 9:1. The former was about 170 feet long and had five masts, while the latter two had lengths of 180 feet with a beam of 28 feet. It may be significant that Fujian shipyards were given the first-order calling for the construction of 137 ships, since these were the yards that probably developed the technique for building the Fuzhou pole junk. . . .

### Zheng He

In addition to overseeing the construction of the Ming fleet, Yongle selected the senior officers who were to lead the expeditions. For overall commander the emperor picked a Muslim eunuch named Zheng He, who had been in his service since 1382. As a small boy, Zheng He had been taken prisoner in Yunnan during the final rout of the Mongols.

Following his capture, Zheng He, by custom, was castrated and subsequently made an officer in Yongle's army, where he distinguished himself during the successful usurpation campaign of 1403. For his loyal service, Zheng He, at age thirty-three, was made a grand eunuch and appointed superintendent of the Office of Eunuchs. His military prowess, along with his knowledge of Turkic languages and Islam, made Zheng He the ideal choice for senior admiral of the Ming fleet. He was given the name Sanbao Taijian, meaning "three-jewelled eunuch."

During his voyages, Zheng He was accompanied by other Chinese Muslims, including one named Ma Huan, who came from the Hangzhou Bay area. Ma was knowledgeable in matters of the sea and in the Arabic and Persian languages. His chief distinction, however, was the account of three voyages he made with Zheng He.

From Ma Huan we learn that Zheng He's general procedure was to bring the fleet together in late spring near modern-day Shanghai, where a series of briefings and religious ceremonies was conducted. Once prayers had been offered, and the fleet had been organized and briefed, it sailed leisurely on a four- to eight-week "shakedown cruise" to an anchorage at the mouth of the Min River in Fujian Province. There the ships would carry out further intensive training throughout the late summer and early fall. Finally, in December or January, they would set sail during the favorable monsoon.

### The Sea Routes

The sea routes followed by Ming naval captains had been known and used for several centuries. Since the Song dynasty, in fact, the routes had been systematized into two major sea lanes: the East Sea Route and the West Sea Route. Each was subdivided into a major and minor route. For example, the major East Sea Route extended to northern Borneo and the Philippines. The minor West Sea

Route encompassed ports in Sumatra and the Malay Peninsula. The major West Sea Route was that route taken to the Indian Ocean via the Malacca Strait.

Following the period of intensive training, the fleet wound its way through the Taiwan Strait and sailed directly into the South China Sea, where land falls were made on Hainan Island and the Xisha Islands (Paracel Islands). From the Xishas the fleet turned westward and made for an anchorage at modern-day Qui Nhon on the Champa (southern Vietnam) coast. The total time of the Fujian-Champa transit was about ten days. Once there, provisions were taken aboard and the crews had "liberty" and "swim call." From Qui Nhon the fleet sailed southward toward the west coast of Borneo, making land falls on the various islands in the southern portion of the South China Sea.

After rounding Borneo, the ships entered the Java Sea and sailed to Sarabaja in Java. At this port Chinese crews were again rested for several months, until about July, when the period of favorable winds occurred. They then sailed through the Malacca Strait via Palembang and thence westward to Sri Lanka. From Sri Lanka the ships made their way to Calicut on the Indian coast, where the fleet was divided into smaller "task forces." Some went to Chittagong in modern-day Bangladesh; others went to Hormuz, Aden, and Jidda; and some visited the African coast near the mouth of the Red Sea. Hormuz usually was reached in January of the first year, and the Chinese returned to Malacca by March. They remained in Malacca only briefly, sailing northward to the Yangzi River by July of the second year. . . .

### The Decline of Maritime Spirit in the Ming

During the Ming expeditions, a number of political, military, social, and economic factors acted to slow and then finally halt the policies that had promoted maritime experimentation and growth.

### The Grand Canal

One of the first indications of China's impending maritime collapse occurred when the Grand Canal was reopened in 1411, making it again possible to ship grain via the inland route. This event marked another closing of the coastal maritime route, and many personnel of the coastal fleets were reassigned to work on the canal. In 1415 the government officially banned grain transport by sea and authorized the construction of three thousand shallow-draft canal barges. This diversion of manpower and shipbuilding expertise was soon felt in the maritime industries. Ocean-going ship construction lagged and was halted altogether by Yongle's successor in 1436. At the same time, regulations were issued that reassigned the men of the Indian Ocean expeditionary force to canal duties as stevedores.

### Population Shifts

Significantly, the conclusion of Ming voyages caused a shift of population away from the sea coast that, from 1437 to 1491, resulted in a loss of eight million people in the three principal coastal provinces of Zhejiang, Fujian, and Guangdong. Meanwhile, inland areas such as Yunnan and Hebei gained four million in population. Many coastal inhabitants also emigrated to southeast Asia.

### Warfa

During t  
along its  
Annam,  
way simi  
for some  
1420 the  
emperor  
hundred  
maintain

In  
Mongol  
counter  
Beijing.  
capital's  
northern  
Chinese  
resurrect  
to dimir  
remain  
was per  
defendi

### Corru

Politics  
as eunu  
ished o  
plete d  
century  
quickly  
agencie  
oppose  
ing the  
employ  
diers to

B  
powerl  
tions i  
ranks  
spired  
any at

### Pirac

As off  
corru

insula. The major West  
Malacca Strait.

found its way through  
Sea, where land falls  
cel Islands). From the  
ge at modern-day Qui  
al time of the Fujian-  
were taken aboard and  
the fleet sailed south-  
the various islands in

and sailed to Sarabaja  
several months, until  
ed. They then sailed  
stward to Sri Lanka.  
Indian coast, where  
ent to Chittagong in  
and Jidda; and some  
Hormuz usually was  
rned to Malacca by  
hward to the Yangzi

military, social, and  
e policies that had

lapse occurred when  
le to ship grain via  
stal maritime route,  
ork on the canal. In  
and authorized the  
s diversion of man-  
e industries. Ocean-  
ngle's successor in  
ed the men of the

f population away  
s of eight million  
ang, Fujian, and  
lebei gained four  
to southeast Asia.

## Warfare and Border Pressure

During the fifteenth century China suffered several serious military setbacks along its land borders that deflected interest in maritime expeditions. In 1418 Annam, tiring of the Chinese presence, launched a war of independence. In a way similar to recent United States efforts, the Chinese tried to carry the fight for some nine years, but Annamese guerrilla tactics eventually prevailed. In 1420 the Ming navy lost a battle on the Red River; in 1427 the Chinese emperor finally grew weary of increased war costs and evacuated nearly one hundred thousand Chinese soldiers from Annam. Chinese suzerainty was maintained, however.

In the north, China faced a graver threat in the form of continued Mongol raids along the entire length of the Great Wall. In 1421, in an effort to counter the resurgent Mongols, Yongle moved the capital from Nanjing to Beijing. Troops were shifted from the seacoast to shore up the northern capital's defenses, which lay less than 100 miles from one of the strategic northern passes that intersected the Great Wall. Despite these precautions, the Chinese emperor was captured in 1449, and the Ming court was forced to resurrect its continental defense strategy completely. These policies did little to diminish the northern nomad threat, however; the critical northern frontier remained under nomad pressure for the next three hundred years. Martial law was periodically imposed, and senior military officials spent their careers defending the north rather than performing naval and coastal defense duties.

## Corruption in Government

Politics within the Ming court also began to turn attention away from the sea, as eunuchs and Chinese bureaucrats vied for power. The praise and favors lavished on palace eunuchs in the early Ming period eventually led to their complete domination of governmental affairs. By the middle of the fifteenth century, the first in a series of eunuch strongmen ascended to power. Very quickly they set about sealing their hold over the most important government agencies, taking control of the army, the police, and finance ministries. When opposed, the eunuchs often resorted to terrorist tactics, arresting and executing those that dared question their authority. Many became quite corrupt, employing ships and crews to transport ill-gotten goods and transferring soldiers to palace construction work.

By 1480 the political intrigues had increased to such an extent that when a powerful eunuch initiated a request to prepare another series of maritime expeditions in emulation of Zheng He, he was greeted by fierce opposition within the ranks of government bureaucrats. Jealous officials within the Board of War conspired to have records of the Indian Ocean voyages destroyed, so as to frustrate any attempt to imitate the early Ming expeditions.

## Piracy

As officials became more absorbed in intrigues at court, they too tended toward corruption, which carried over to coastal trade. Unscrupulous merchants regained

control as the government's monopoly on foreign trade was relinquished, and smuggling and piracy flourished. The Ming histories record that "the powerful families of Fujian and Zhejiang traded with the Japanese pirates. Their associates at court protected them and carried out their bidding. . . . Palace attendants outfitted merchant ships and the criminal elements of the coast abetted them in making profit." In fact, while Zheng He and his companions were conducting their voyages, Japanese pirates successfully carried out five major incursions against the Chinese mainland. In 1419 the northern coastguard fleets were helpless in preventing a sizeable force of several thousand pirates from landing on the Liaodong Peninsula. It required a well-trained force of Chinese army troops to subdue the pirates. As an example of the magnitude of this action, the Chinese army commander captured 857 pirates alive and beheaded another 742.

Although Japanese piracy continued to plague the Chinese, it ceased in 1466 when Japan fell into civil war. By 1523, however, Japanese and Chinese raiders were again launching attacks along the coast. Ningbo was burned in that year, and in 1552 a flotilla sailed up the Yangzi, sacking cities without opposition. Natives of the coast fled further inland to escape the ravages of these attacks. In 1555 Nanjing came under siege and the port of Quanzhou in Fujian was plundered. In an attempt to stop these raids, Ming provincial administrators resorted to the Tang dynasty's practice of constructing beacon stations to give advance warnings of pirates. By 1562, 711 beacon stations lined the coast from Jiangsu to Guangdong. By 1563 the army had to be used to combat the sea rovers, who controlled nearly all of the Fujian coast.

### Scholarship and Neo-Confucianism

Finally, a version of neo-Confucianism developed that was markedly idealistic and influenced by Buddhism, resulting in a loss of interest in geomancy and maritime expansion. As early as 1426, a minister memorialized the court, stating the following:

Arms are the instruments of evil which the sage does not use unless he must. The noble rulers and wise ministers of old did not dissipate the strength of the people by deeds of arm. This was a farsighted policy. . . . Your minister hopes that your majesty . . . would not indulge in military pursuits nor glorify the sending of expeditions to distant countries. Abandon the barren lands abroad and give the people of China a respite so that they could devote themselves to husbandry and to the schools. Thus, there would be no wars and suffering on the frontier and no murmuring in the villages, the commanders would not seek fame and the soldiers would not sacrifice their lives abroad, the people from afar would voluntarily submit and distant lands would come into our fold, and our dynasty would last for ten thousand generations.

Such statements helped check Chinese maritime pursuits and force China to restore continentalist policies. Scholars who devoted their lives to the classics were again revered, while the military class was looked upon with great suspicion by the gentry and officials.

By the early fifteenth century, regulations were again in force that made it a capital offense to build a seagoing junk with more than two masts. By

1525 an in  
kind and

The timin  
coincided  
arrived in  
short-live  
Macao in  
trade rela  
tury, the  
by the Ma  
the last fo

elinquished, and  
at "the powerful  
. Their associates  
attendants outfit-  
ted them in mak-  
conducting their  
rsions against the  
e helpless in pre-  
g on the Liaodong  
ps to subdue the  
ie Chinese army  
2.  
nese, it ceased in  
iese and Chinese  
o was burned in  
ig cities without  
e the ravages of  
of Quanzhou in  
Ming provincial  
structing beacon  
on stations lined  
ad to be used to  
coast.

arkedly idealistic  
n geomancy and  
d the court, stat-

ess he must.  
ength of the  
nister hopes  
r glorify the  
ands abroad  
emselves to  
suffering on  
s would not  
, the people  
ne into our

force China to  
s to the classics  
great suspicion

force that made  
two masts. By

1525 an imperial edict authorized coastal officials to destroy all ships of this kind and place the crews under arrest.



The timing of Chinese maritime decline could not have been worse, for it coincided with European maritime expansion into Asia. The Portuguese arrived in 1516, and although they were expelled in 1521, their exodus was short-lived. They returned and established settlements in Xiamen in 1544 and Macao in 1535. The Spanish occupied the Philippines in 1564 and established trade relations with China shortly thereafter. Then, in the seventeenth century, the Dutch arrived in Asia just as the Ming dynasty was being conquered by the Manchu cavalry that overran Beijing in 1644. Thus was the stage set for the last foreign imperial rulers in China—the Qing.



# POSTSCRIPT



## Did China's Worldview Cause the Abrupt End of Its Voyages of Exploration?

In this book's last issue, two historians debate the extent to which the West dominated the modern world through an extension of its power and culture. One is left to wonder whether this western intrusion would have been possible if a strong Chinese naval presence had existed. Perhaps the course of world history would have been altered. No colonialism; no imperialism!

The role of court eunuchs throughout Chinese history has been a turbulent one; sometimes they're portrayed as loyal civil servants, other times as despised outcasts. No one however can question their staying power, as during the reign of Pu Yi (1903-1912), who would be China's last emperor, they were still a troublesome court presence. In Bernardo Bertolucci's Academy Award-winning film, *The Last Emperor*, they are shown as having a corrupting influence on the court and are eventually banned from the "Forbidden City." Although historically they were far from angelic, they have sometimes been blamed for conditions and events that were not of their making. Shih-Shan Henry Tsai attempts to correct the myths and stereotypes regarding eunuchs in his *The Eunuchs in the Ming Dynasty* (State University of New York Press, 1996), which provides a badly needed fresh look at the eunuchs in the period covered by this Issue.

For other works on the subject, see Shi-Shan Henry Tsai, *Perpetual Happiness: The Ming Emperor Yongle* (University of Washington Press, 2001) which provides a fresh look at the man responsible for Ming China's maritime activities; Timothy Brook, *The Confusions of Pleasure: Commerce and Culture in Ming China* (University of California Press, 1999) is more useful for general information on Chinese society during the Ming dynasty than specific information of Zheng He and his voyages. An ancillary work, *Chinese Maps: Images Of 'All Under Heaven'* by Richard J. Smith provides background to China's worldview and its development and displays the advanced Chinese map work completed during its history.

Last year, Gavin Menzies's *1421: The Year China Discovered America* (William Morrow, 2003) was published. It extended the breadth of China's maritime efforts by claiming that it was the Chinese who discovered America during the Ming era. However, most who reviewed the book found it long on claims and short on documentation.

Finally, two important reference works on Ming China, both edited by Frederick W. Mote and Denis Twitchett are: *The Cambridge History of China: The Ming Dynasty, 1368-1644, Part I* (Cambridge University Press, 1988); and *The*



# Cause oyages

ent to which the West  
its power and culture.  
ould have been possi-  
perhaps the course of  
no imperialism!  
tory has been a turbu-  
rvants, other times as  
staying power, as dur-  
a's last emperor, they  
Bertolucci's Academy  
is having a corrupting  
the "Forbidden City."  
have sometimes been  
ir making. Shih-Shan  
es regarding eunuchs  
y of New York Press,  
eunuchs in the period

y Tsai, *Perpetual Hap-*  
on Press, 2001) which  
hina's maritime activ-  
merce and Culture in  
ore useful for general  
ty than specific infor-  
*Chinese Maps: Images*  
ckground to China's  
ed Chinese map work

a *Discovered America*  
e breadth of China's  
o discovered America  
ook found it long on

hina, both edited by  
*idge History of China:*  
Press, 1988); and *The*

*Cambridge History of China: The Ming Dynasty, 1368-1644, Part II* (Cambridge University Press, 1998).

The recent publication date on many of these books, and the presence of numerous computer web sites containing information about Zheng He, show the timeliness of the subject and give hope that more information on a neglected figure in Chinese and world history will be forthcoming.

